



2025 Johnson Financial Group, LLC Privacy Notice

Our commitment to you

The Johnson Financial Group, LLC privacy notice applies to you, our valued clients, as well as prospective and former clients. As your investment adviser, we obtain nonpublic, personal financial and other types of information about you to establish, service, and maintain your account. This information is used in connection with providing our investment management services to you, for example, to determine your risk tolerance and the appropriateness of the investment strategy you have chosen. This privacy notice sets forth our commitment and procedures for protecting your personal information, as well as the types of information we collect and with whom and why we share the information. Our commitment to you, as always, is to provide you with the superior level of service you deserve.

How and why Johnson Financial Group, LLC collects nonpublic personal information from you

- We collect personal information from you or your representative on applications or other forms. This information includes, for instance, your name, address, social security or tax identification number, assets, age, income, and account numbers.
- Information may be collected from other sources with your consent or with the consent of your representative, for example, if you are transferring positions from one custodian to another custodian before our advisory services can take place.
- We collect information about your transactions, or transactions executed on your behalf, with us or other persons.
- As the investment adviser of your account, we may disclose personal information about you to broker-dealers, custodians, and third-party investment advisers who service your account. We will share your information only:
 - As necessary to effect, administer, or enforce a transaction that you have authorized (which may be authorized by the discretion you have granted us) or have requested;
 - In connection with processing or servicing a financial product or service you have authorized;
 - In connection with maintaining or servicing your account.
- The information we may share includes account positions, balances, history and other information pertaining to the investment decisions and transactions we direct for your account.
- Information we have collected from you may also be disclosed to fulfill legal and regulatory obligations.
- Mobile information will not be shared with third parties/affiliates for marketing/promotional purposes. All the above (or below) categories exclude text messaging originator opt-in data and consent; this information will not be shared with any third parties



We do not disclose any nonpublic personal information about you to anyone, except as permitted by law.

We will not sell information about you or prospective or former clients to third parties under any circumstances.

How Johnson Financial Group, LLC protects your personal information

We restrict access to nonpublic personal information about you to our employees, on a need to know basis, and to other persons, such as custodians and broker-dealers, who need to know the information in order for us to provide you with the investment advisory services you contracted for. We maintain physical, electronic and procedural safeguards that comply with federal standards to guard your nonpublic personal information. We have also adopted formal policies and procedures that govern the sharing of your information with third-parties, and protection of the confidentiality, security, and integrity of your nonpublic personal information.

Please contact us at 720-475-1195 with any questions you may have concerning this privacy notice.